

APRIL 13<sup>TH</sup> 2022

# CRM AUCTION REPORT - UPDATE

Following the re-run of the Y-4 Auction for the 2025-2026 Delivery Period



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# Disclaimer

## 1. General provisions

### 1.1 Introduction

This report (hereinafter the “Report”) is published by Elia Transmission Belgium SA, with registered office at Boulevard de l'Empereur 20, 1000 Brussels, registered with the Crossroads Bank for Enterprises under number 0731.852.231 (hereinafter “Elia”), pursuant to Article 7undecies § 10 of the Act of 29 April 1999 on the organisation of the electricity market (hereinafter the 'Electricity Act'). Please also refer to section 2 below.

### 1.2 No warranties & liability

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### 1.3 Relation with the Capacity Contract, the Electricity Act and the Functioning Rules

For the avoidance of doubt, the content of this Report can in no way serve as, or constitute a legal (or contractual or any other kind of) basis for the signature of a Capacity Contract; the only basis for which rests within the Electricity Act and the CRM Functioning Rules established in the Royal Decree<sup>1</sup> (hereinafter the “Functioning Rules”).

In the event of any conflict or inconsistency between this Report and the Electricity Act and/or the Functioning Rules, the latter documents shall prevail.

### 1.4 Intellectual property rights

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<sup>1</sup> Royal Decree approving the functioning rules for the capacity remuneration mechanism, pursuant to 7undecies, § 12, of the Electricity Act



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## 1.5 Impact from Auction Rerun

Following the Auction Rerun instructed by the Minister in her decision on 25/03/2022 pursuant to Article 7undecies § 18 of the Act of 29 April 1999 on the organisation of the electricity market, the Auction Report was updated on the 13/04/2022 with the latest bid status from selected and non-selected CMUs. The modifications presented in the Auction Report concern the volumes and graphs, that are put in bold and are italicized, except for the prices (italicized) which were excluded from the update for purposes of confidentiality. Their values will remain as presented in the Auction Report published on 31/10/2021. Note that the graphs now display the cancelled volume, i.e. the capacity volume that was unselected following the rerun. This value has to be included within the submitted volume, which remains constant compared to the previous Auction Report.



# Y-4 Auction Report

## 2. Purpose of this document

Pursuant to Article 7undecies § 10 of the Electricity Act, Elia has the legal obligation to publish on its website, by 31 October 2021 latest, the results of the Y-4 Auction for the 2025 – 2026 Delivery Period.

*“§ 10. Pour chaque période de fourniture de capacité, deux mises aux enchères sont organisées par le gestionnaire du réseau: une première mise aux enchères, quatre ans avant la période de fourniture de capacité, et une seconde mise aux enchères un an avant la période de fourniture de capacité. Conformément à une instruction visée au paragraphe 6, le gestionnaire du réseau organise une mise aux enchères pour laquelle les offres sont admises jusqu'au 30 septembre inclus et dont les résultats sont publiés sur le site internet du gestionnaire du réseau au plus tard le 31 octobre, sauf application du paragraphe 13. Le gestionnaire du réseau transmet les résultats des enchères au ministre. Si, en vertu du pouvoir de contrôle dont elle dispose conformément au paragraphe 13, la commission annule la mise aux enchères, le gestionnaire du réseau organise une nouvelle mise aux enchères dont les résultats sont publiés sur le site internet du gestionnaire du réseau au plus tard le 30 novembre.”*

Following the Ministerial Decision on 25/03/2022 modifying Article 7undecies § 18 of the Electricity Act of 29 April 1999 on the organisation of the electricity market, Elia has the legal obligation to perform a rerun of the Y-4 Auction for the 2025-2026 Delivery Period and publish the updated Auction Report.

*“§ 18, dernier alinéa. “L’adjudication complémentaire est soumise au contrôle de la commission conformément au paragraphe 13. La commission approuve les résultats de l’adjudication complémentaire dans le délai déterminé dans l’instruction visée à l’alinéa 3, 2°. Dans le respect de la confidentialité des informations commercialement sensibles, le gestionnaire du réseau adapte les résultats de la mise aux enchères et procède aux publications prévues par les règles de fonctionnement du mécanisme de rémunération de capacité visées au paragraphe 12. Le gestionnaire du réseau transmet le résultat de l’adjudication complémentaire au ministre. ”*

This Report is published in order to comply with this legal obligation, as well as those stemming, as the case may be, from REMIT, and it is established following the transparency requirements as set forth in chapter 16 of the Functioning Rules. Pursuant to the Electricity Act, these rules guarantee the transparency of the capacity remuneration mechanism.



### 3. Summary of the final results of the Y-4 Auction for the 2025-2026 Delivery Period

The following table presents the most important price and volume results of the Y-4 Auction for the 2025-2026 Delivery Period organized in October 2021. The bid volume weighted average Bid Price of the retained bids is equal to 31,671.57 €/MW/year<sup>2</sup>. The highest Bid Price of the retained bids, as referred in § 932 of the Functioning Rules, is equal to 49,993.18 €/MW/year<sup>2</sup>.

Given the "pay-as-bid" clearing algorithm in the auction, each retained CMU will receive its own Bid Price as a Capacity Remuneration.

The total amount of capacity (in derated MW) selected in the Auction amounts to **4,456.75** MW, spread over 40 selected Capacity Market Units.

<b>Auction and Delivery Period</b>	Y-4 Auction organized in October 2021 for 2025-2026 Delivery Period, complemented with the re-run concluded in April 2022
<b>Weighted average Bid Price (in EUR/MW/year)</b>	31,671.57 <sup>2</sup>
<b>Highest Bid Price (in EUR/MW/year)</b>	49,993.18 <sup>2</sup>
<b>Total selected capacity (in MW)</b>	<b>4,456.75*</b>
<b>Number of selected Capacity Market Units (CMUs)</b>	40

\*Note that this capacity, as well as all other capacity volumes mentioned in the remainder of this Report, concern capacities after application of the derating factor.

<sup>2</sup> Prices have not been updated cf. section 1.5



### 3.1 General information about the submitted and selected Bids

The table below, as referred in §§ 928 and 931 of the Functioning Rules, provides further insight into the submitted Bids, as well as the selected Bids. The Bid volume weighted average bid price for the submitted Bids not subject to the Intermediate Price Cap amounts to 44,346.22 €/MW/year<sup>3</sup>, whereas it amounts to 37,167.35 €/MW/year<sup>3</sup> for the final selected Bids not subject to the Intermediate Price Cap. For the submitted Bids subject to the Intermediate Price Cap, the bid volume weighted average bid price is 19,901.60 €/MW/year<sup>3</sup> for the submitted Bids<sup>3</sup> (not taking into account the possible mutually exclusive nature of the considered Bids) and 19,892.72 €/MW/year<sup>3</sup> for the selected Bids.

In total, 16 Prequalified CRM Candidates submitted at least one Bid for a total of 44 different CMUs. Of these, 40 CMUs were ultimately selected, representing 14 unique Prequalified CRM Candidates<sup>4</sup>.

		Submitted Bids	Selected Bids
Bid volume weighted average bid price (EUR/MW/year)	Subject to Intermediate Price Cap	19,901.60 <sup>3</sup>	19,892.72 <sup>3</sup>
	Not subject to Intermediate Price Cap	44,346.22 <sup>3</sup>	37,167.35 <sup>3</sup>
Average capacity volume (MW)		132.81	<b>101.29</b>
Number of bids	Total	52	44
	Of which mutually exclusive (%)	9.62%	N/A
Total volume of mutually exclusive bids (MW)		193.41	N/A
Maximum volume of mutually exclusive bids that can be selected (MW)		64.47	N/A
Total number of CMUs		44	40
Total number of Unique Prequalified CRM Candidates		16	<b>14</b>

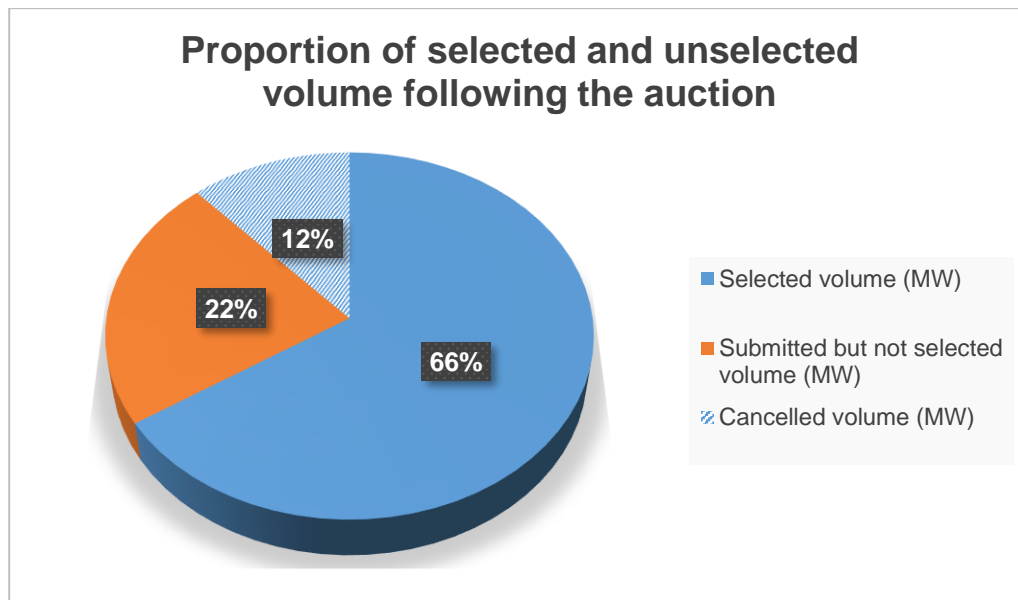
<sup>3</sup> Prices have not been updated cf. section 1.5

<sup>4</sup> As compared to the Auction of October 2021, one additional Prequalified CRM Candidate is considered in the selection, namely Luminus.



### 3.2 Volume statistics of the submitted and selected Bids

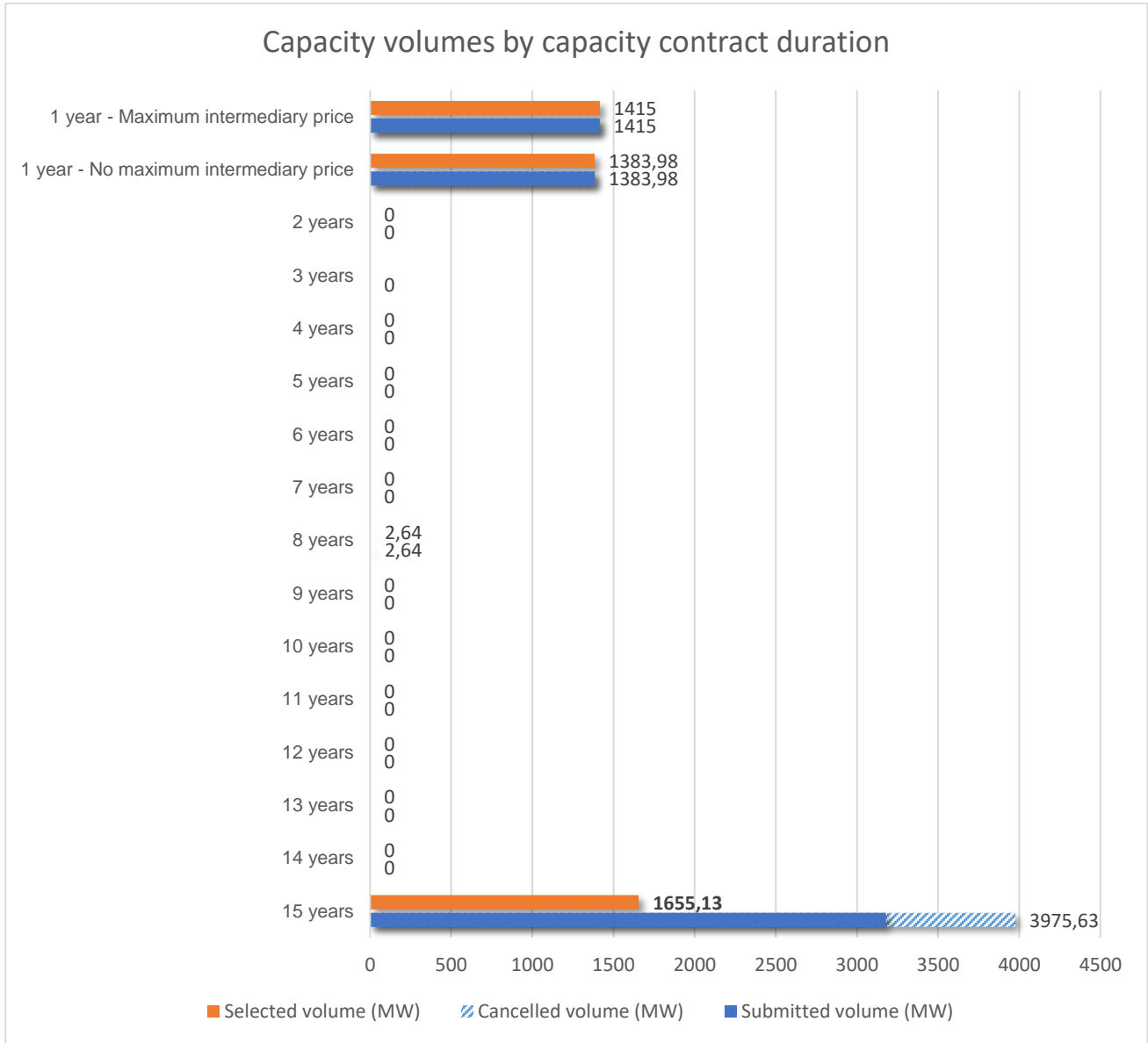
The graph below shows the ratio of selected to non-selected and cancelled volume (in MW).





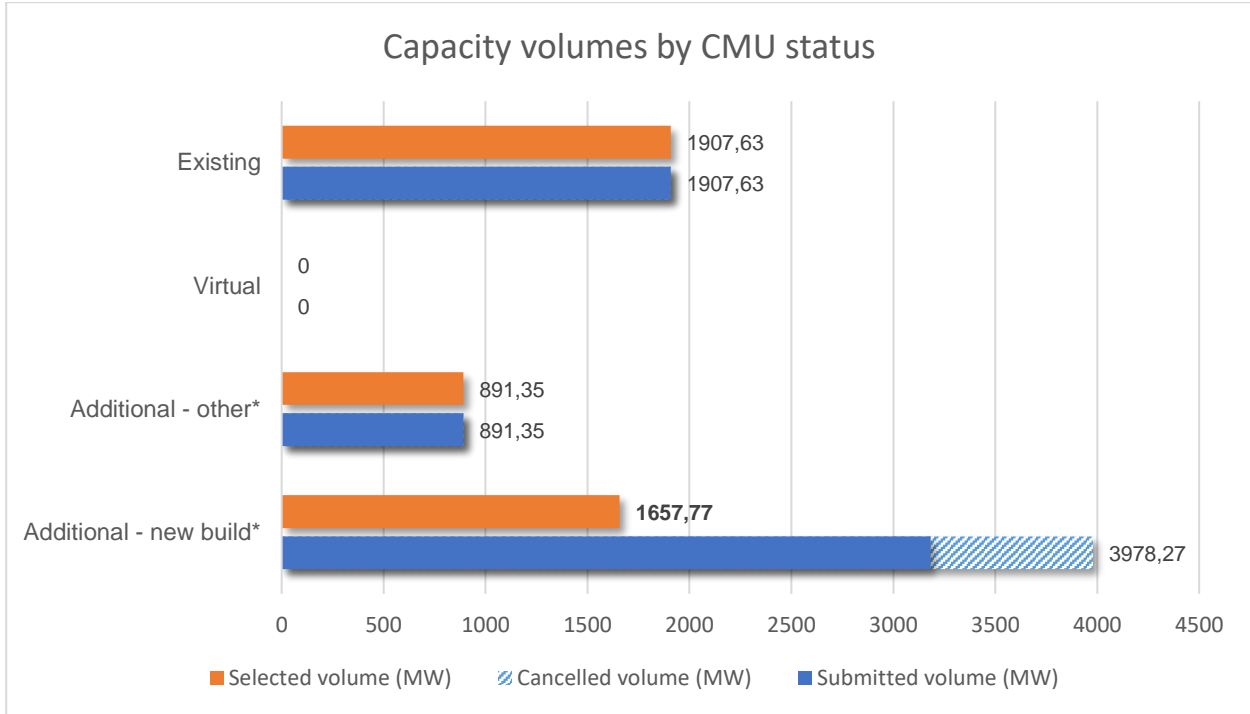
### 3.2.1 Capacity volumes by capacity contract duration

The submitted and selected capacity volumes (in MW) are split below according to the capacity contract duration, as envisaged in §§ 929 and 933 of the Functioning Rules. Capacities with a 15-year Capacity Contract represented 58.7% of the capacities participating in the Auction. They were also the largest category of capacities selected in the Auction (37.1%).



### 3.2.2 Capacity volumes by CMU status

The submitted and selected capacity volumes (in MW) are summarized below according to the type of CMU (existing, additional or virtual), as referred in §§ 929 and 933 of the Functioning Rules. **Additional - new build** capacities accounted for 58.7% of the submitted volume and are thereby the largest category of capacities participating in the Auction. They ultimately accounted for 37.1% of the capacities selected in the Auction. Note that the results summarized below remain based on the type of the CMU as observed during the auction held in October 2021, which may meanwhile have evolved.



\*Note that the total volume of additional capacity is determined by the sum of the categories "Additional - new build" and "Additional - other".

The category "Additional – new build" consists of the additional capacities for which a formal commitment concerning the renunciation of the usage of the connection capacity has been made in accordance with § 63 of the Functioning Rules.

The category "Additional - other" contains, for example, capacities for which adjustments to the metering installation are necessary or to which a (limited) expansion of the capacity applies, but without affecting the connection capacity.



### 3.2.3 Capacity volumes by technology

The submitted and selected capacity volumes (in MW) are split below by technology, as referred in §§ 929 and 933 of the Functioning Rules.

The graphs below show respectively:

- a breakdown based on the **derating factors laid down in the Ministerial Decree regarding the instruction for the organisation of the auction**<sup>5</sup> and declared by the CRM Candidates per CMU during the prequalification procedure in accordance with § 76 of the Functioning Rules;
- a breakdown based on the **technology of the delivery point in accordance with the list of technologies defined in article 13, § 1 of the Royal Decree on Methodology**<sup>6</sup> and indicated by the CRM Applicants per delivery point during the prequalification procedure in accordance with § 70 of the Functioning Rules. If a CMU consists of multiple delivery points with different technologies, the capacity volume is allocated to the category "Aggregated technologies" which also includes the delivery points which themselves are composed of multiple technologies.

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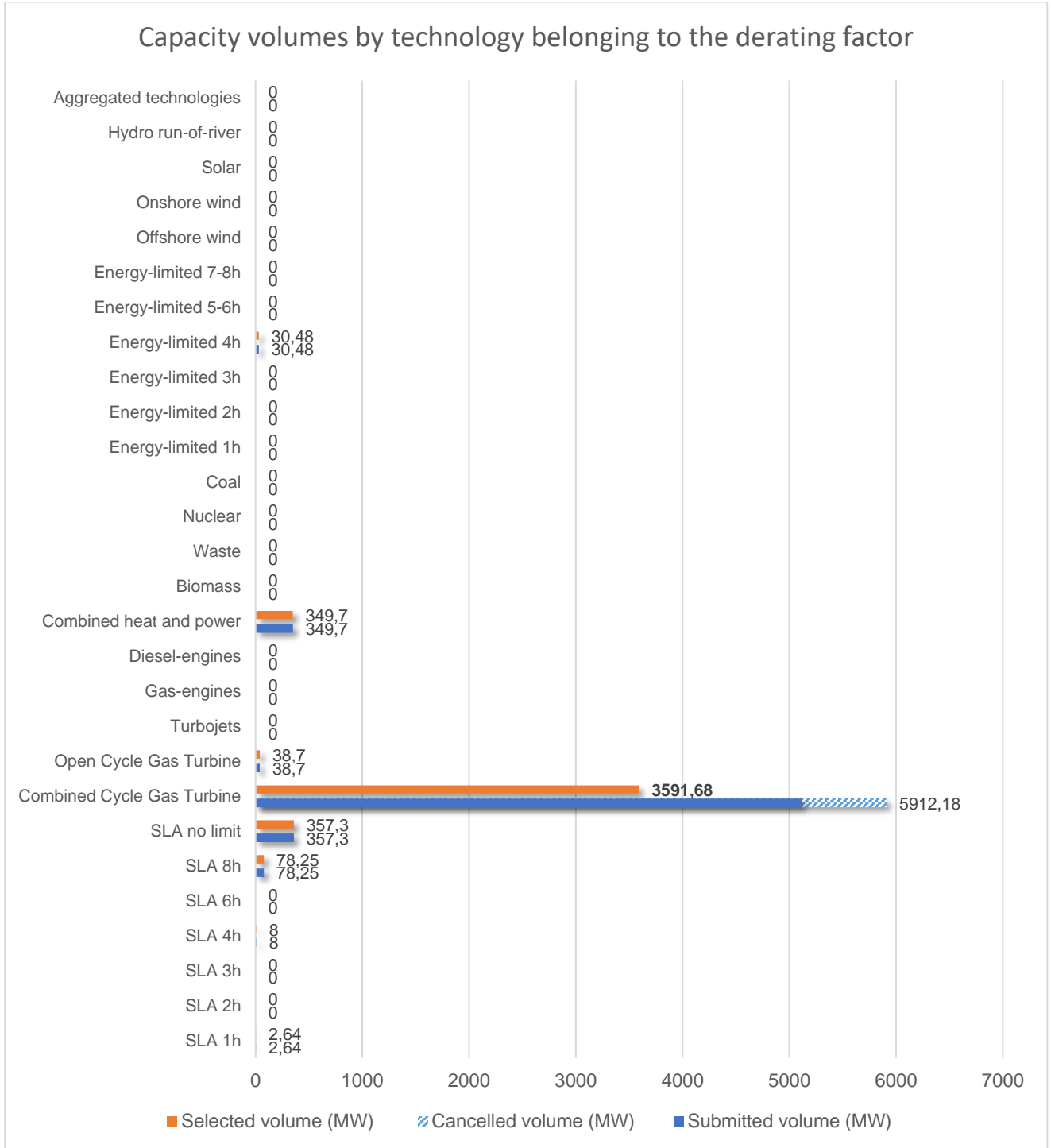
<sup>5</sup> Ministerial Decree of 30 April 2021 regarding the instruction to the system operator to organise the auction four years prior to the delivery period starting on 1 November 2025, the parameters needed to organise the aforementioned auction, the maximum volume of capacity that can be contracted with all holders of unproven capacity and the minimum volume to be reserved for the auction to be organised one year prior to the delivery period, in accordance with Article 7undecies, § 6, first paragraph of the Law of 29 April 1999 on the organisation of the electricity market.

<sup>6</sup> Royal Decree of 28 April 2021 establishing the parameters used to determine the volume of capacity to be procured, including their calculation method, and the other parameters necessary for the organisation of the auctions, as well as the method and conditions for obtaining individual derogations from the application of the intermediate price cap(s) under the capacity remuneration mechanism.



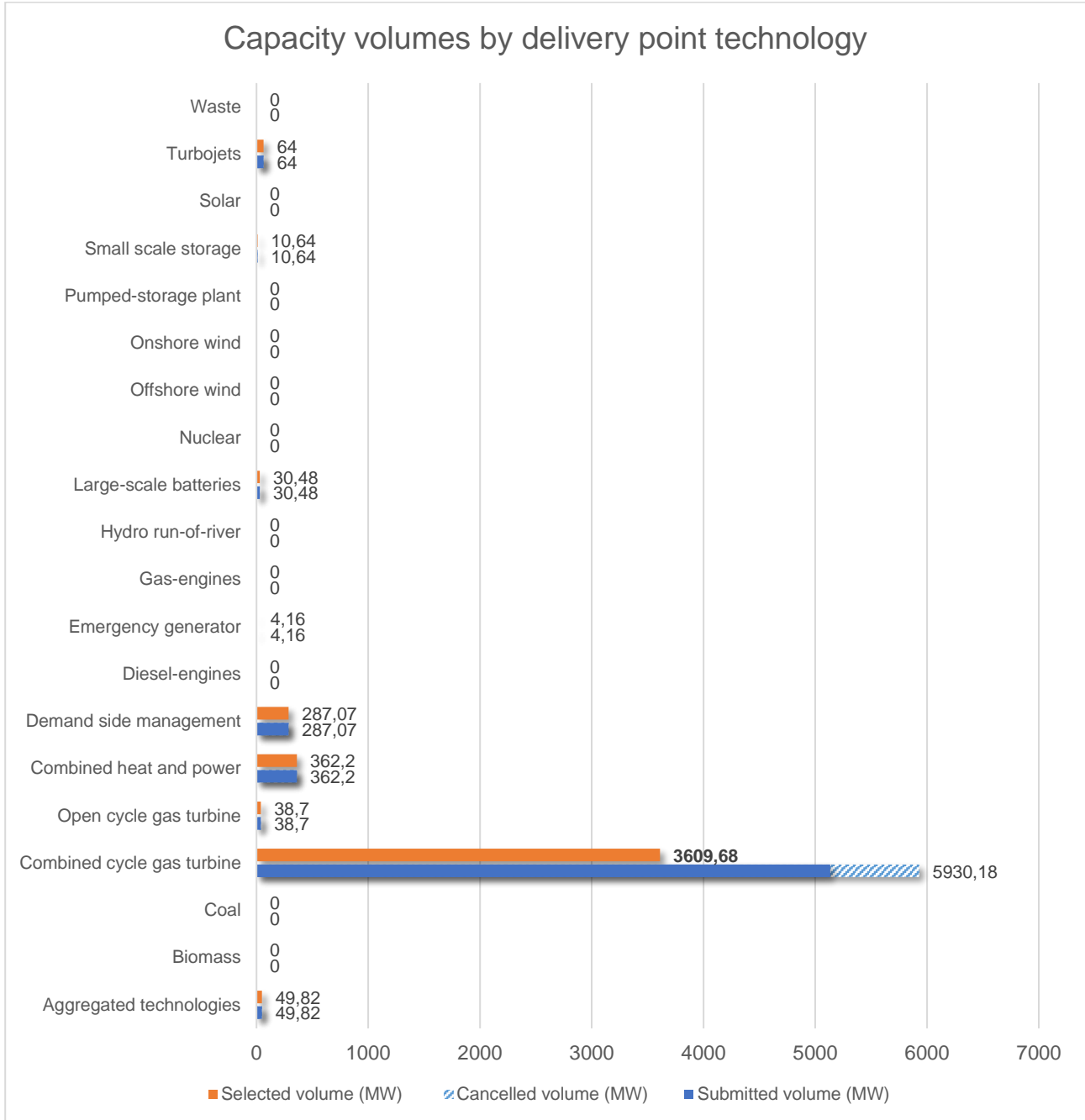
### 3.2.3.1 Capacity volumes by technology – Derating factor

The technology belonging to the derating factor **Combined Cycle Gas Turbine** accounted for 87.2% of the capacities participating in the Auction and constituted the largest category selected in the Auction (80.6%).



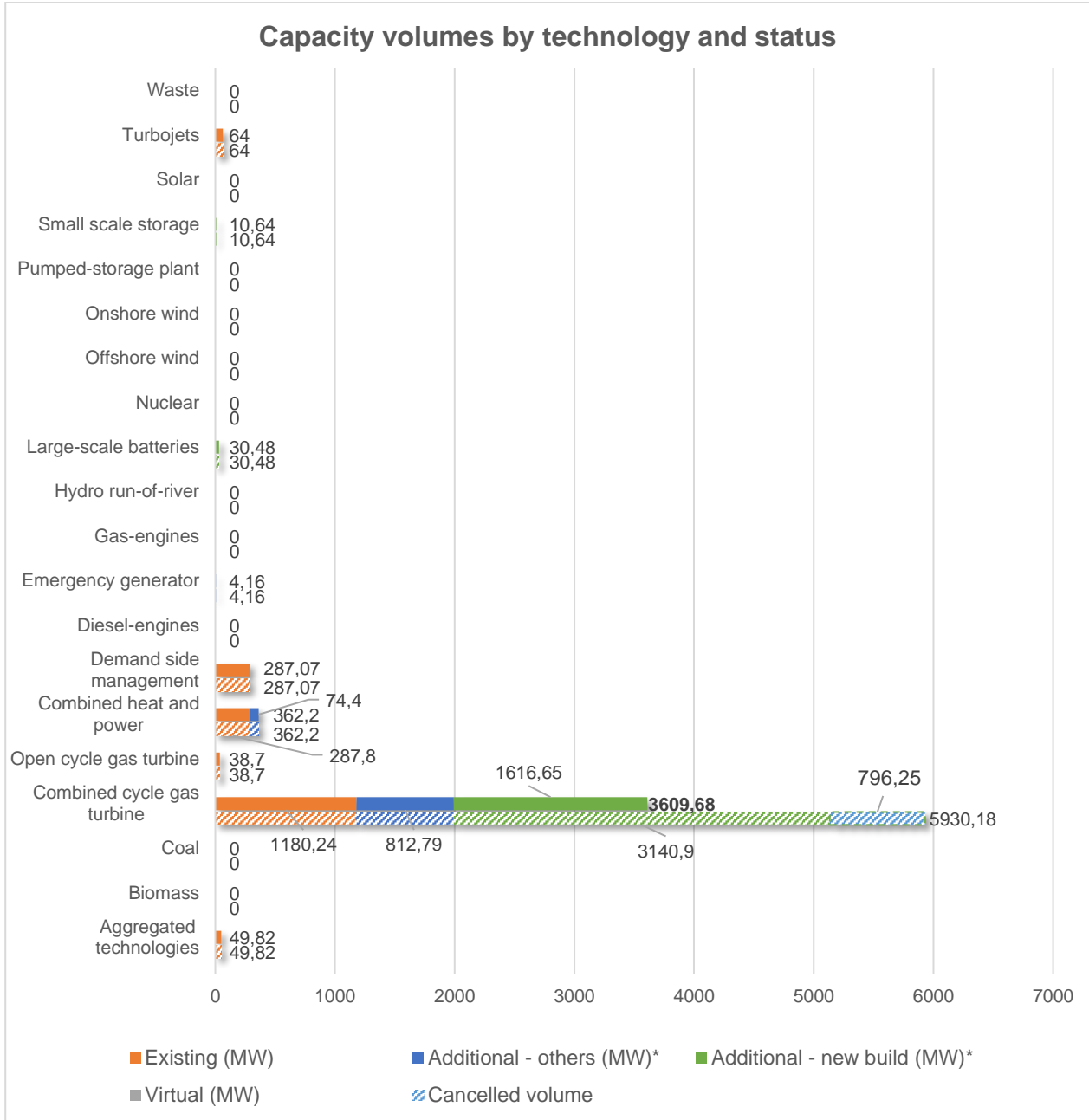
### 3.2.3.2 Capacity volumes by delivery point technology

The technology **Combined Cycle Gas Turbine** accounted for 87.5% of the capacities participating in the Auction and constituted the largest category selected in the Auction (80.6%).



### 3.2.4 Capacity volumes by technology & status

The submitted and selected capacity volumes (in MW) are split below by both the technology (of the delivery point) and the status of the capacities. The combination technology **Combined Cycle Gas Turbine** and status **Additional - new build** accounted for 58.1% of the capacities participating in the Auction and constituted the largest category selected in the Auction (36.1%).



\*Note that the total volume of additional capacity is determined by the sum of the categories "Additional - new build" and "Additional - other".



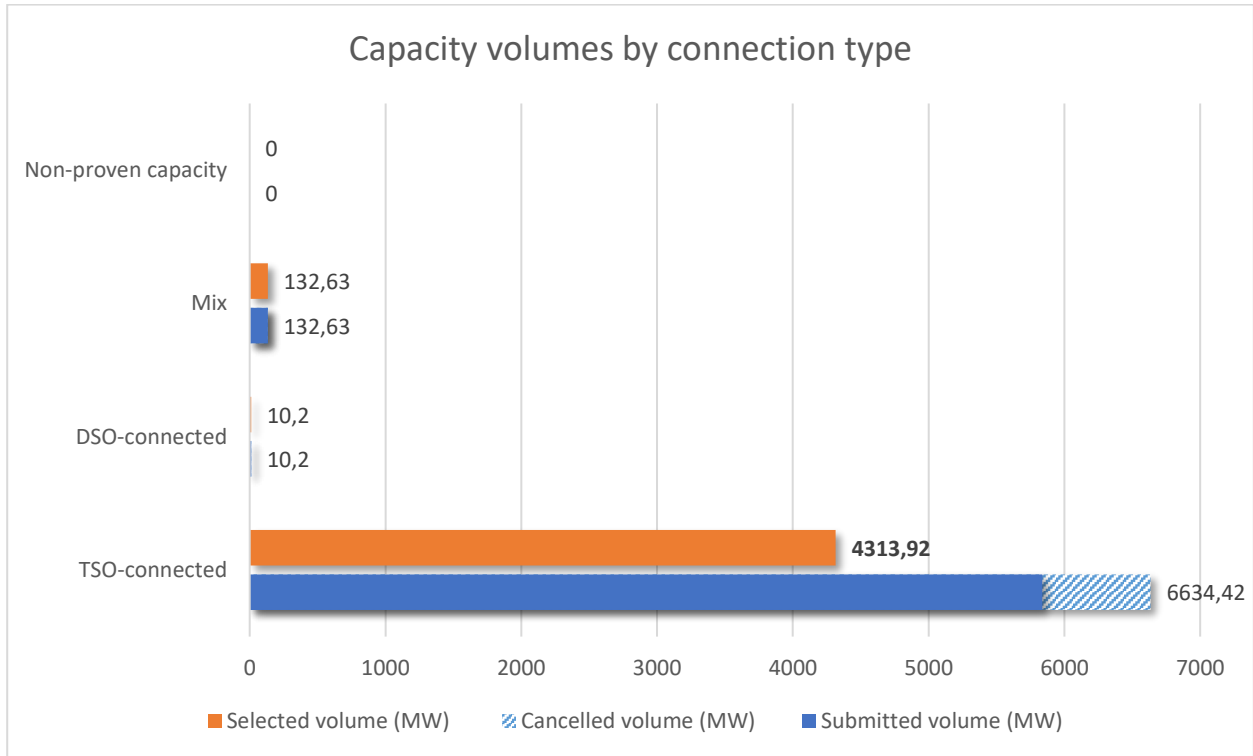
The category "Additional – new build" consists of the additional capacities for which a formal commitment concerning the renunciation of the usage of the connection capacity has been made in accordance with § 63 of the Functioning Rules.

The category "Additional - other" contains, for example, capacities for which adjustments to the metering installation are necessary or to which a (limited) expansion of the capacity applies, but without affecting the connection capacity.



### 3.2.5 Capacity volumes by connection type

The submitted and selected capacity volumes (in MW) are split below by connection type, as referred in §§ 929 and 933 of the Functioning Rules.





### 3.3 Opt-out volume summary

The total notified Opt-out volume for the Y-4 Auction for the 2025 - 2026 Delivery Period is, as referred in § 923 of the Functioning Rules, broken down below into volumes that contribute to security of supply (category "IN") and volumes that do not contribute to security of supply (category "OUT"). 70.4% and 29.6% of the total notified Opt-out volume are classified as "IN" and "OUT" respectively.

Note that the table below does not include opt-out volumes for nuclear units in Belgium. The total derated opt-out volume for nuclear units in Belgium amounts to 5705.28 MW and is considered as "OUT".

	Opt-out volumes "IN"	Opt-out volumes "OUT"			
	<i>Total</i>	<i>Notification of definitive closure/structural reduction of capacity (Article 4bis of the Electricity Act)</i>	<i>Additional generation capacity with 'full opt-out' and without production licence and/or connection contract*.</i>	<i>Non-fixed capacity as part of a connection with flexible access</i>	<i>Conditional opt-out**</i>
<b>Opt-out volumes (MW)</b>	3,805.9	515.47	1,087.74	0	0
<b>% of total opt-out volume</b>	70.4%	9.5%	20.1%	0%	0%

\*This category also includes full opt-outs related to *new build* (cf. category "Additional - new build" as described above) capacities.

\*\*This category also includes conditional partial opt-outs related to *new build* (cf. category "Additional - new build" as described above) capacities, if these are considered "OUT" following the outcome of the Auction clearing.



### 3.4 Dummy bids

In accordance with section 6.3.1 of the Functioning Rules, Elia determined, based on the information gathered during the prequalification procedure and during the auction *clearing*, the dummy bids that correct the volume to be purchased in the Auction.

Dummy bids determined prior to clearing of the auction, based on information gathered during the prequalification process, as referred in § 925 of the Functioning Rules:

- The **dummy bid** referred to in § 267 of the Functioning Rules, which corrects the volume to be purchased in the auction for the capacities that do not participate in the auction but are deemed to contribute to security of supply, equal to the opt-out volume "IN" as shown in section 3.3 above, amounts to 3,805.9 MW.
- The total volume of **conditional dummy bids** referred to in § 268 of the Functioning Rules, which depending on clearing of the auction is deemed to contribute to security of supply or not, amounts to 20.4 MW.
- The **reversed dummy bid** referred to in § 269 of the Functioning Rules, resulting in an upward volume shift of the demand curve, which corrects the volume to be purchased in the auction for successfully prequalified capacities that were deemed non-eligible during the demand curve calibration, amounts to 1026.37 MW.
  - o This volume consists on the one hand, of 910.25 MW coming from a total of 16 CHP, Biomass and Waste CMUs which, as estimated by the Federal Public Service Economy during the determination of the demand curve, are eligible for subsidies during the supply period covered by the auction but which have nevertheless registered as eligible capacity and have been successfully prequalified. On the other hand, the volume consists of 116.12 MW coming from successfully prequalified CHP, Biomass, Waste and Onshore wind capacities for which no estimation has been made by the FPS Economy and which were also considered non-eligible during the calibration of the demand curve.
  - o Split by technology, the volume is made up of 925.96 MW CHP, 51.46 MW Biomass, 46.63 MW Waste and 2.32 MW Onshore wind capacity.

The reversed dummy bid was not adjusted during the *clearing* of the auction because the non-selection of successfully prequalified capacities that were considered non-eligible during the calibration of the demand curve totaled less than 100 MW (cf. § 270 of the Functioning Rules).

The total volume of conditional dummy bids that was finally deemed to contribute to security of supply is 20.4 MW (or 100% of the total volume of conditional dummy bids).



### 3.5 Individual information on the selected Capacity Market Units

The table below presents, as referred in § 926 of the Functioning Rules, information about the individual selected Bids in the Auction. Note that the status of the CMU reported below remains based on the type of the CMU as observed during the auction held in October 2021, which may meanwhile have evolved.

Prequalified CRM Candidate	CMU ID	Derating factor	Technology of delivery point	Status of the CMU	Link with other Bids ("Linked Bids")	Capacity Contract Duration (in years)	Maximum volume submitted for CMU in the Auction (in MW)	Selected volume of the Bid (in MW)
Alco Bio Fuel	CMU-34ZUx	SLA No Limit	Combined Heat and Power	Existing		1	12.5	12.5
ArcelorMittal Belgium	CMU-36kwQ	SLA No Limit	Combined Cycle Gas Turbine	Additional - new build		15	6	6
Centrica Business Solutions Belgium	CMU-349dt	SLA 1h	Small scale storage	Additional - new build		8	2.64	2.64
Centrica Business Solutions Belgium	CMU-33llu	SLA 8h	Demand side management	Existing		1	64.47	64.47
Electrabel	CMU-2xKYy	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing	1	1	263.74	263.74
Electrabel	CMU-2xL66	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing	1	1	143.29	143.29
Electrabel	CMU-2wq8W	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - new build	2	15	528.71	528.71
Electrabel	CMU-2wsfO	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - new build	2	15	276.64	276.64
Electrabel	CMU-2xLC6	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing	3	1	133.95	133.95
Electrabel	CMU-2xLEV	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing	3	1	133.95	133.95
Electrabel	CMU-2xLQV	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing	3	1	150.7	150.7
Electrabel	CMU-2xM11	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - other	4	1	139.5	139.5
Electrabel	CMU-2xM6M	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - other	4	1	139.5	139.5
Electrabel	CMU-2xM89	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - other	4	1	139.59	139.59
Electrabel	CMU-2wws0	Open Cycle Gas Turbine	Open Cycle Gas Turbine	Existing		1	38.7	38.7
<b>Electrabel</b>	<b>CMU-2wUnW</b>	<b>Combined Cycle Gas Turbine</b>	<b>Combined Cycle Gas Turbine</b>	<b>Additional - new build</b>	<b>5</b>	<b>15</b>	<b>526.89</b>	<b>526.89</b>
<b>Electrabel</b>	<b>CMU-2wV30</b>	<b>Combined Cycle Gas Turbine</b>	<b>Combined Cycle Gas Turbine</b>	<b>Additional - new build</b>	<b>5</b>	<b>15</b>	<b>269.36</b>	<b>269.36</b>
Electrabel	CMU-2xcO5	SLA No Limit	Turbojets	Existing		1	64	64
Electrabel	CMU-2zTy0	Combined Heat and Power	Combined Heat and Power	Existing		1	37.06	37.06
ExxonMobil Petroleum & Chemical	CMU-2z8Y5	Combined Heat and Power	Combined Heat and Power	Existing		1	106	21.2
ExxonMobil Petroleum & Chemical	CMU-2z8Y5	Combined Heat and Power	Combined Heat and Power	Existing		1	106	21.2
ExxonMobil Petroleum & Chemical	CMU-2z8Y5	Combined Heat and Power	Combined Heat and Power	Existing		1	106	21.2
ExxonMobil Petroleum & Chemical	CMU-2z8Y5	Combined Heat and Power	Combined Heat and Power	Existing		1	106	21.2
ExxonMobil Petroleum & Chemical	CMU-2z8Y5	Combined Heat and Power	Combined Heat and Power	Existing		1	106	21.2
Flexcity Belgium	CMU-2zPoD	SLA No Limit	Aggregated technologies	Existing		1	4	4

Flexcity Belgium	CMU-34vtN	SLA 8h	Emergency generator & Demand side management	Existing		1	4.16	4.16
Flexcity Belgium	CMU-33owX	SLA No Limit	Aggregated technologies	Existing		1	8	8
Flexcity Belgium	CMU-2wUZ1	SLA 8h	Emergency generator	Additional - other		1	4.16	4.16
Flexcity Belgium	CMU-2xJUf	SLA 8h	Aggregated technologies	Existing		1	5.46	5.46
Flexcity Belgium	CMU-32JMP	Combined Heat and Power	Combined Heat and Power	Additional - other		1	37.2	37.2
Flexcity Belgium	CMU-32JK	Combined Heat and Power	Combined Heat and Power	Additional - other		1	37.2	37.2
Flexcity Belgium	CMU-2znKC	SLA No Limit	Demand side management	Existing		1	132.6	132.6
Flexcity Belgium	CMU-2xgpb	SLA No Limit	Aggregated technologies	Existing		1	6.2	6.2
Flexcity Belgium	CMU-2z2PN	SLA No Limit	Demand side management	Existing		1	90	90
Flexcity Belgium	CMU-2znKH	SLA No Limit	Combined Heat and Power & Demand side management	Existing		1	22	22
INEOS Oxide Utilities	CMU-34alb	Combined Heat and Power	Combined Heat and Power	Existing	6	1	42.87	42.87
INEOS Oxide Utilities	CMU-34aW	Combined Heat and Power	Combined Heat and Power	Existing	6	1	42.87	42.87
INEOS Oxide Utilities	CMU-34XPB	Combined Heat and Power	Combined Heat and Power	Existing	6	1	46.5	46.5
<b>Luminus</b>	<b>CMU-31D40</b>	<b>Combined Cycle Gas Turbine</b>	<b>Combined Cycle Gas Turbine</b>	<b>Additional - new build</b>	<b>7</b>	<b>15</b>	<b>533.74</b>	<b>533.74</b>
<b>Luminus</b>	<b>CMU-31Dt2</b>	<b>Combined Cycle Gas Turbine</b>	<b>Combined Cycle Gas Turbine</b>	<b>Additional - new build</b>	<b>7</b>	<b>15</b>	<b>271.56</b>	<b>271.56</b>
Nala Renewables Belgium BV	CMU-36LFD	SLA 4h	Small scale storage	Additional - new build		15	8	8
Ruien Energy Storage	CMU-2xDYX	Energy-limited 4h	Large-scale batteries	Additional - new build		15	5.28	5.28
RWE Generation Nederland B.V.	CMU-307ED	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Additional - other		1	382.2	382.2
Storm 67	CMU-36KCI	Energy-limited 4h	Large-scale batteries	Additional - new build		15	25.2	25.2
Tessengerlo Group	CMU-308di	SLA No Limit	Combined Cycle Gas Turbine	Additional - other		1	12	12
Zandvliet Power	CMU-2zjll	Combined Cycle Gas Turbine	Combined Cycle Gas Turbine	Existing		1	354.61	354.61